

FORESTHILL PUBLIC UTILITY DISTRICT

AGENDA

Special Meeting of FORESTHILL PUBLIC UTILITY DISTRICT BOARD OF DIRECTORS

Pursuant to the Governor's Executive Order N-29-20, and given the state of emergency regarding the threat of COVID-19, the meeting will be held via teleconference

www.foresthillpud.com

| | | |
|----------------|--------------------------|-----------------|
| Tuesday | November 17, 2020 | 10:00 AM |
|----------------|--------------------------|-----------------|

Topic: **Foresthill Public Utility District Special Board Meeting**

Time: Nov 17, 2020 10:00 AM Pacific Time

Join Zoom Meeting: <https://zoom.us/j/99019133774>

Meeting ID: 990 1913 3774

One tap mobile

+14086380968,,99019133774# US (San Jose)

+16699006833,,99019133774# US (San Jose)

Dial by your location

+1 408 638 0968 US (San Jose)

+1 669 900 6833 US (San Jose)

Meeting ID: 990 1913 3774

Find your local number: <https://zoom.us/u/aeGHiV4iyQ>

A. CALL TO ORDER (At 10:00 AM)

B. ROLL CALL:

_____ President Neil Cochran
_____ Vice President Mark Bell
_____ Treasurer Linda Cholcher
_____ Director Patty Wade
_____ Director Jane Stahler

C. PUBLIC COMMENT: This is the time for any member of the public to address the Board of Directors on any matter not on the agenda that is within the subject matter jurisdiction of the District. Directors and staff are limited by law to brief responses and clarifying questions or comments. There will be no votes on non-agenda items. Concerns may be referred to staff or placed on the next available agenda. Please note that comments from the public will also be taken on each agenda item. Comments shall be limited to three minutes per person, or such other time limit as may be imposed by the President. If all public comments cannot

be heard within the five minute time limit, the President may move the remaining public comments to the end of the meeting.

D. ADOPTION OF THE AGENDA:

E. CONSENT AGENDA: All items listed under the Consent Agenda are considered to be routine in nature and may be approved by one motion.

1. Minutes of October 14, 2020 Special Meeting
2. Minutes of October 15, 2020 Planning committee Meeting
3. Cash Disbursements Register, September 2020
4. Statement of Net Position, September 2020
5. Profit & Loss Budget vs. Actual, September 30, 2020
6. Financial Activity by Fund September 30, 2020
7. Monthly and Year to Date Financial Activity Report, September 30, 2020
8. Investment Policy Compliance, September 30, 2020
9. Quality Analysis Report, September 30, 2020
10. Activity Detail September 30, 2020
11. Portfolio Graphically Presented, September 30, 2020

F. ACTION ITEMS:

1. Consider amendment to Conflict of Interest Code, Policy #1020
Recommended Action: Adopt amended policy
Public comment:

G. DISCUSSION ITEMS:

1. General Manager Report
2. Remarks/reports by Directors

H. CLOSED SESSION (Begins at 11:00 AM)

1. Conference with Legal Counsel — Existing Litigation Subdivision (a) of Government Code Section 54956.9. Name of Case: Miner's Camp vs. Foresthill Public Utility District
2. Public employee performance evaluation, Government Code Section 54957(b), Title: General Manager

I. ANNOUNCEMENT FROM CLOSED SESSION

J. ADJOURNMENT:

In accordance with Government Code Section 54954.2(a) this notice and agenda were posted in the District's front window at the Foresthill Public Utility District office, 24540 Main Street, Foresthill, CA 95631 on or before 4:00 PM., November 5, 2020.

In compliance with the Americans with Disabilities Act, if you need special assistance to participate in this meeting, please contact the General Manager at (530)367-2511. Notification 48 hours prior to the meeting will enable the District to make reasonable arrangements to ensure accessibility to this meeting.

Henry N. White, Board Clerk & Ex-Officio Secretary

FORESTHILL PUBLIC UTILITY DISTRICT

MINUTES

Special Meeting of FORESTHILL PUBLIC UTILITY DISTRICT BOARD OF DIRECTORS

Pursuant to the Governor's Executive Order N-29-20, and given the state of emergency regarding the threat of COVID-19, the meeting will be held via teleconference

www.foresthillpud.com

| | | |
|------------------|-------------------------|----------------|
| Wednesday | October 14, 2020 | 1:00 PM |
|------------------|-------------------------|----------------|

Topic: **Foresthill Public Utility District Special Board Meeting**

Time: Oct 14, 2020 01:00 PM Pacific Time (US and Canada)

Join Zoom Meeting: <https://zoom.us/j/92164199309>

Meeting ID: 921 6419 9309

Dial by your location

+1 669 900 6833 US (San Jose)

+1 408 638 0968 US (San Jose)

Find your local number: <https://zoom.us/u/abx27qEpAH>

A. CALL TO ORDER (At 1:00 PM) *President Cochran called the meeting to order at 1:07 P.M.*

B.

C. ROLL CALL:

| | |
|--------------------------------|-----------------------|
| _____ President Neil Cochran | <i>Present</i> |
| _____ Vice President Mark Bell | <i>Present</i> |
| _____ Treasurer Linda Cholcher | <i>Present</i> |
| _____ Director Patty Wade | <i>Present</i> |
| _____ Director Jane Stahler | <i>Present</i> |

D. PUBLIC COMMENT: This is the time for any member of the public to address the Board of Directors on any matter not on the agenda that is within the subject matter jurisdiction of the District. Directors and staff are limited by law to brief responses and clarifying questions or comments. There will be no votes on non-agenda items. Concerns may be referred to staff or placed on the next available agenda. Please note that comments from the public will also be taken on each agenda item. Comments shall be limited to three minutes per person, or such other time limit as may be imposed by the President. If all public comments cannot be heard within the five minute time limit, the President may move the remaining public comments to the end of the meeting. *No public comments were offered.*

E. ADOPTION OF THE AGENDA: *Treasurer Linda Cholcher made a motion to adopt the agenda as presented. The motion was seconded by Director Wade and carried unanimously (5-0).*

F. CONSENT AGENDA: All items listed under the Consent Agenda are considered to be routine in nature and may be approved by one motion.

1. Minutes of September 9, 2020 Special Meeting
2. Cash Disbursements Register, August 2020
3. Statement of Net Position, August 2020
4. Profit & Loss Budget vs. Actual, August 31, 2020
5. Financial Activity by Fund August 31, 2020
6. Monthly and Year to Date Financial Activity Report, August 31, 2020
7. Investment Policy Compliance, August 31, 2020
8. Quality Analysis Report, August 31, 2020
9. Activity Detail August 31, 2020
10. Portfolio Graphically Presented, August 31, 2020

Board Action: *Vice President Bell made a motion to approve the Consent Agenda. The motion was seconded by Treasurer Cholcher and carried unanimously (5-0).*

G. ACTION ITEMS:

1. Board to consider declaring 2,500 gallon chlorine storage tank surplus and directing staff to deliver storage tank to the Todd's Valley Miwok Maidu Cultural Foundation's Roundhouse Site at 21650 Foresthill Road
Recommended Action: Declare tank surplus and direct staff to deliver the tank to the Roundhouse Site
Public comment: *Public comment was received.*

Board Action: *Vice President Bell made a motion to declare the 2,500 gallon chlorine storage tank surplus and direct staff to negotiate a properly worded transfer document and deliver the tank to the Todd's Valley Miwok Maidu Cultural Foundation's Roundhouse site. The motion was seconded by Director Wade and carried unanimously (5-0).*

2. Board to discuss priority of replacing emergency generators at the water treatment plant, the pump station, the dam and headquarters and to take action deemed necessary
Recommended Action: Discuss options and direct staff
Public comment: *No public comments were offered.*

Board Action: *No Board action was taken. President Cochran directed staff to investigate costs and possible grants.*

H. DISCUSSION ITEMS:

1. General Manager Report
2. Remarks/reports by Directors

Board Action: At 2:19 p.m. Vice President Bell made a motion to adjourn the open meeting and move in to closed session. The motion was seconded by Director Wade and carried unanimously (5-0).

I. CLOSED SESSION (Begins at 2:00 PM)

1. Conference with Legal Counsel — Existing Litigation Subdivision (a) of Government Code Section 54956.9. Name of Case: Miner's Camp vs. Foresthill Public Utility District
2. Public employee performance evaluation, Government Code Section 54957(b), Title: General Manager

J. ANNOUNCEMENT FROM CLOSED SESSION: At 3:52 PM President Cochran announced that there was no reportable action taken during closed session and that the closed session would be continued after the open session.

K. ADJOURNMENT: President Cochran adjourned the meeting at 3:53 PM

Submitted by:

Attest:

Neil Cochran, Board President

Henry N. White, Clerk and Ex-Officio Secretary

FORESTHILL PUBLIC UTILITY DISTRICT

MINUTES

FORESTHILL PUBLIC UTILITY DISTRICT PLANNING COMMITTEE MEETING

Pursuant to the Governor's Executive Order N-29-20, and given the state of emergency regarding the threat of COVID-19, the meeting will be held via teleconference

| | | |
|----------|------------------|-----------|
| Thursday | October 15, 2020 | 2:00 P.M. |
|----------|------------------|-----------|

Join the public meeting at 2:00 PM from your computer, tablet or smartphone

Topic: Foresthill Public Utility District Planning Committee Meeting

Time: Oct 15, 2020 02:00 PM Pacific Time (US and Canada)

Join Zoom Meeting: <https://zoom.us/j/98032861028>

Meeting ID: 980 3286 1028

Dial by your location

+1 408 638 0968 US (San Jose)

+1 669 900 6833 US (San Jose)

Find your local number: <https://zoom.us/u/a3PZRx7dB>

A. CALL TO ORDER: *Chair Stahler called the meeting to order at 2:10 PM*

B. ROLL CALL:

_____ Jane Stahler, Chair

Present

_____ Patty Wade, Member

Present

C. PLEDGE OF ALLEGIANCE: *Chair Stahler led the pledge of allegiance*

D. PUBLIC COMMENT: This is the time for any member of the public to address the members of the Committee on any matter not on the agenda that is within the subject matter jurisdiction of the District. Comments shall be limited to five minutes per person, or such other time limit as may be imposed by the Chair. *No public comments were offered*

E. BUSINESS:

1. Review of update to 5-year Capital Improvement Plan: *The Committee discussed the Five Year Capital Improvement Plan*

F. ADJOURNMENT: *Chair Stahler adjourned the meeting at 5:06 PM*

Submitted by:

Attest:

Jane Stahler, Planning Committee Chair

Henry N. White, Board Clerk/Ex Officio Secretary

DRAFT

Foresthill Public Utility District
Cash Disbursements Register
September 2020

| Date | Num | Name | Memo | Paid Amount |
|---------------|----------|--|-------------------------------------|--------------------|
| Sep 20 | | | | |
| 09/08/2020 | 31764 | Bureau of Reclamation | | -10,412.50 |
| 09/14/2020 | 31765 | AmeriPride Services | | -531.08 |
| 09/14/2020 | 31766 | Blackburn Consulting | | -922.30 |
| 09/14/2020 | 31767 | Cranmer Engineering, Inc. | | -1,067.00 |
| 09/14/2020 | 31768 | Daniel West | | -110.00 |
| 09/14/2020 | 31769 | Foresthill Garage, Inc. | | -53.11 |
| 09/14/2020 | 31770 | Foresthill Valero | | -642.06 |
| 09/14/2020 | 31771 | Grant Hardware, Inc. | | -162.19 |
| 09/14/2020 | 31772 | Home Depot Credit Services | | -38.48 |
| 09/14/2020 | 31773 | Iconix Waterworks Inc. | | -1,014.59 |
| 09/14/2020 | 31774 | Infosend | | -237.05 |
| 09/14/2020 | 31775 | Kronick, Moskovitz, et al | | -9,981.10 |
| 09/14/2020 | 31776 | Rauch Communication Consultants, Inc. | | -1,995.00 |
| 09/14/2020 | 31777 | Recology Auburn Placer | | -62.34 |
| 09/14/2020 | 31778 | Robert Middleton | | -60.00 |
| 09/14/2020 | 31779 | Sebastian | | -641.64 |
| 09/14/2020 | 31780 | Sierra Mini Mart, Inc. | | -528.31 |
| 09/14/2020 | 31781 | Thatcher Company, Inc. | | -3,265.93 |
| 09/14/2020 | 31782 | USA Blue Book | | -1,221.83 |
| 09/14/2020 | 31783 | Vision Quest Information Solutions, Inc. | | -1,130.00 |
| 09/14/2020 | 31784 | Western Hydrologics, LLP | | -13,042.70 |
| 09/21/2020 | 31785 | Nicole Schichtel | | -73.39 |
| 09/21/2020 | 31786 | Rodriguez, James | | -90.29 |
| 09/21/2020 | 31787 | ADECCO Employment Services | | -640.32 |
| 09/21/2020 | 31788 | Bureau of Reclamation | | -17,672.02 |
| 09/21/2020 | 31789 | Infosend | | -1,419.83 |
| 09/21/2020 | 31790 | Pacific Gas & Electric | | -2,097.19 |
| 09/21/2020 | 31791 | Verizon Wireless | | -48.92 |
| 09/21/2020 | 31792 | Vision Service Plan - (CA) | | -148.62 |
| 09/21/2020 | 31793 | Wells Fargo Bank | | -5,481.54 |
| 09/21/2020 | 31794 | Keenan & Associates | | -12,780.60 |
| 09/21/2020 | 31795 | MidAmerica Admin & Ret Solutions, Inc. | | -2,280.00 |
| 09/21/2020 | 31796 | Placer County , Personnel | | -861.00 |
| 09/28/2020 | 31797 | Clark Pest Control | | -95.00 |
| 09/28/2020 | 31798 | J.S. West | | -864.47 |
| 09/28/2020 | 31799 | Mutual of Omaha | | -477.59 |
| 09/28/2020 | 31800 | Pacific Gas & Electric | | -418.89 |
| 09/28/2020 | 31801 | PAPA Membership | | -50.00 |
| 09/28/2020 | 31802 | Placer County Treasurer-Tax Collector | | -821.78 |
| 09/28/2020 | 31803 | Riebes | | -50.58 |
| 09/28/2020 | 31804 | Staples | | -228.52 |
| 09/28/2020 | 31805 | USA Blue Book | | -503.42 |
| 09/04/2020 | 20200910 | CalPERS | Classic employee retirement deposit | -5,028.12 |
| 09/04/2020 | 20200911 | CalPERS | 457 deposit | -1,105.02 |
| 09/04/2020 | 20200912 | CalPERS | pepra employee retirement deposit | -301.11 |
| 09/04/2020 | 20200913 | CalPERS | GASB 68 report fee | -700.00 |
| 09/04/2020 | 20200914 | EDD/State of CA | 499-0064-0 | -1,607.27 |
| 09/04/2020 | 20200915 | EFTPS | 94-6020935 | -7,355.48 |
| 09/18/2020 | 20200920 | CalPERS | Classic employee retirement deposit | -5,028.12 |
| 09/18/2020 | 20200921 | CalPERS | 457 deposit | -1,105.02 |
| 09/18/2020 | 20200922 | CalPERS | Pepra employee retirement deposi | -301.11 |
| 09/18/2020 | 20200923 | CalPERS | SSA Admin fee | -250.00 |
| 09/18/2020 | 20200924 | EDD/State of CA | 499-0064-0 | -1,509.79 |
| 09/18/2020 | 20200925 | EFTPS | 94-6020935 | -7,149.54 |
| Sep 20 | | | | -125,663.76 |

Foresthill Public Utility District
Statement of Net Position
September 30, 2020

Item E4

ASSETS

Current Assets

Checking/Savings

| | |
|--------------------------------------|-----------|
| 10110 · Cash on Hand | 250 |
| 10120 · Wells Fargo Checking | 170,217 |
| 10510 · Local Agency Investment Fund | 1,179,078 |
| 10512 · Umpqua Savings account | 506,769 |
| 10519 · Wells Fargo Adv - Money Mrkt | (55,476) |
| 10520 · Wells Fargo Adv - Face Value | 2,500,000 |

Total Checking/Savings 4,300,838

Accounts Receivable 303,974

Other Current Assets 378,367

Total Current Assets 4,983,179

Fixed Assets 9,699,420

Other Assets 59,107

TOTAL ASSETS 14,741,706

LIABILITIES & NET POSITION

Liabilities

Current Liabilities

Accounts Payable 37,725

Other Current Liabilities 180,328

Total Current Liabilities 218,054

Long Term Liabilities 1,168,581

Total Liabilities 1,386,635

Net Position 13,355,071

TOTAL LIABILITIES & NET POSITION 14,741,706

Foresthill Public Utility District
Statement of Activity
Budget vs. Actual
For the Three Months Ended September 30, 2020

Item E5

| | <u>9/30/2020</u> | <u>Budget</u> | <u>Variance</u> |
|-------------------------------------|-----------------------|------------------------|-----------------------|
| Income | | | |
| 41011 · SP Debt Svc assessment | 53,815 | 53,750 | 65 |
| 41012 · R&R assessment revenue | 136,688 | 137,000 | (312) |
| 41014 · Gen Fund Reserve assessment | 17,616 | 17,500 | 116 |
| 41100 · Residential | 374,717 | 377,010 | (2,293) |
| 41105 · Residential Overage Charge | 87,968 | 30,645 | 57,323 |
| 41150 · Multi Family Residential | 55,234 | 55,587 | (353) |
| 41155 · Multi Family Overage Charge | 290 | 4,518 | (4,228) |
| 41200 · Business | 33,459 | 34,902 | (1,443) |
| 41205 · Business Overage Charge | 26,912 | 2,838 | 24,074 |
| 42100 · Low Usage Credit | (8,525) | (12,000) | 3,475 |
| 42300 · Meter Installation | - | 1,000 | (1,000) |
| 49200 · Interest - LAIF | 3,703 | - | 3,703 |
| 49220 · Portfolio Income | 14,965 | 16,250 | (1,285) |
| 49251 · Interest - Umpqua Bank | 64 | - | 64 |
| 49300 · Property Tax Revenues | 115 | 25,750 | (25,635) |
| 49510 · Water Charges Penalties | - | 5,000 | (5,000) |
| 49520 · Service Charges & Reconnect | 5,914 | 2,500 | 3,414 |
| 49905 · Water Transfers | 100,000 | | 100,000 |
| 49910 · Miscellaneous Income | 117 | - | 117 |
| 49930 · Grant Income | - | 18,750 | (18,750) |
| Total Income | <u>903,052</u> | <u>771,000</u> | <u>132,052</u> |
| Expense | | | |
| 51000 · Wages & Salaries | 157,800 | 209,295 | 51,495 |
| 52000 · Taxes & Benefits | 117,767 | 127,833 | 10,065 |
| 53000 · Materials & Supplies | 27,053 | 21,825 | (5,228) |
| 54000 · Equipment costs | 6,855 | 115,187 | 108,332 |
| 55000 · Contracted services | 55,053 | 11,250 | (43,803) |
| 55001 · Professional Fees | 36,649 | 15,000 | (21,649) |
| 56000 · Resource development | 36,480 | 19,119 | (17,361) |
| 57000 · Utilities | 12,133 | 10,500 | (1,633) |
| 58000 · Regulatory and General | 59,365 | 62,857 | 3,492 |
| 61000 · Capital Activities | 6,510 | 205,000 | 198,490 |
| 62400 · Depreciation Expense | 89,105 | - | (89,105) |
| Total Expense | <u>604,771</u> | <u>797,866</u> | <u>193,095</u> |
| Change in Net Position | <u>298,281</u> | <u>(26,866)</u> | <u>325,147</u> |

Foresthill Public Utility District
Monthly and Year to Date Financial Activity Report
As of September 30, 2020

| | Month to Date | | Year to Date | |
|--|----------------|---------------|----------------|----------------|
| | Operating | Non-Operating | Operating | Non-Operating |
| Revenues: | | | | |
| Water Charges | 154,164 | | 463,410 | |
| Water Overages/(Credits) | 39,191 | | 106,645 | |
| Water Charge Penalties | - | | - | |
| Installations - Meter/Service | - | | - | |
| Fees/Charges | - | | | |
| Service Charges & Reconnects | 1,009 | | 5,914 | |
| Miscellaneous | - | 117 | - | 117 |
| Standby Charges | - | - | | - |
| Water Transfer Income | | - | | 100,000 |
| Property Tax Revenue | | 115 | | 115 |
| Investment Income | | 2,086 | | 18,732 |
| Will Serve | | - | | - |
| Sugar Pine Surcharges | | 17,940 | | 53,815 |
| Repair & Replacement Surcharges | | 45,568 | | 136,688 |
| General Reserve Income | | 5,873 | | 17,616 |
| Assessment #2 | | - | | |
| Total Revenues | 194,364 | 71,699 | 575,969 | 327,083 |
| Expenditures: | | | | |
| Source of Supply | 11,232 | | 37,921 | |
| Pumping | 1,789 | | 4,892 | |
| Treatment | 17,820 | | 65,884 | |
| Transmission and Distribution | 40,642 | | 138,342 | |
| Customer Service | 25,286 | | 92,031 | |
| Regulatory Compliance | 26,775 | | 63,411 | |
| Administration | 19,472 | - | 96,514 | 47,512 |
| Water Transfer costs | - | 19,367 | | 51,754 |
| Sugar Pine Debt Service | | - | | - |
| Capital projects | | 4,025 | | 6,510 |
| Other outflows | | - | | - |
| Water Plant improvement | | - | | - |
| Total Expenditures | 143,016 | 23,392 | 498,995 | 105,776 |
| Revenue in excess/(deficit) of expenses | 51,347 | 48,308 | 76,974 | 221,307 |

Item E7

Foresthill Public Utility District
Financial Activity by Fund
As of September 30, 2020

| | <u>7/1/2020</u> | <u>Received</u> | <u>Paid Out</u> | <u>Transfers</u> | <u>Balance</u> |
|-----------------------------|-------------------|-----------------|------------------|------------------|-------------------|
| General Fund - unspendable | 9,787,609 | | | (89,105) | 9,698,504 |
| General Fund - unrestricted | 1,275,031 | 694,933 | (598,261) | 89,105 | 1,460,809 |
| Debt Service Fund | 250,293 | 53,815 | - | | 304,108 |
| Repair & Replacement Fund | 1,115,529 | 136,688 | - | | 1,252,217 |
| Capital Improvements | 207,124 | - | (6,510) | | 200,615 |
| General Reserve | 421,203 | 17,616 | | | 438,819 |
| Total Fund balances | 13,056,790 | 903,052 | (604,770) | - | 13,355,071 |

Foresthill Public Utility District
Investment Policy Compliance
with Government Code Standards, and the Foresthill PUD Investment Plan Standards
As of September 30, 2020

Current Portfolio Balance: \$ 4,239,656

| Ca Government Code Section 53601 | Govt Code Maximum % | District Maximum % | District Actual % | Complies |
|---|------------------------------------|-----------------------------------|----------------------------------|-----------------|
| Bonds issued by the District | | 100.00% | 0.00% | Yes |
| Federal Treasury notes, bonds, bills | 100.00% | 100.00% | 0.00% | Yes |
| State/local agency bonds, etc | 100.00% | 100.00% | 25.12% | Yes |
| Federal Agency Bonds | 100.00% | 100.00% | 0.00% | Yes |
| Negotiable certificates of Deposit | 30.00% | 30.00% | 24.42% | Yes |
| Local Agencies Investment Fund | 100.00% | 100.00% | 27.81% | Yes |
| Medium Term Corporate Notes | 30.00% | 30.00% | 7.62% | Yes |
| Money Market Funds | 15.00% | 15.00% | -1.31% | Yes |
| Collateralized bank deposits | 100.00% | 100.00% | 16.34% | Yes |
| Shares of Beneficial Interest | 20.00% | 15.00% | 0.00% | Yes |
| Mortgage pass through security bonds | 20.00% | 20.00% | 0.00% | Yes |
| Total | | | 100.00% | |

| Balance by Maturity | Actual % | Actual \$ |
|----------------------------|---------------------|----------------------|
| Range | | |
| 1 to 7 days | 42% | 1,800,588 |
| 8 to 180 days | 2% | 75,000 |
| 181 to 360 days | 6% | 270,762 |
| 1 to 2 years | 24% | 1,024,796 |
| 2 to 3 years | 5% | 200,267 |
| 3 to 4 years | 5% | 224,598 |
| 4 to 5 years | 15% | 643,646 |
| Over 5 years | 0% | |
| | | 4,239,656 |

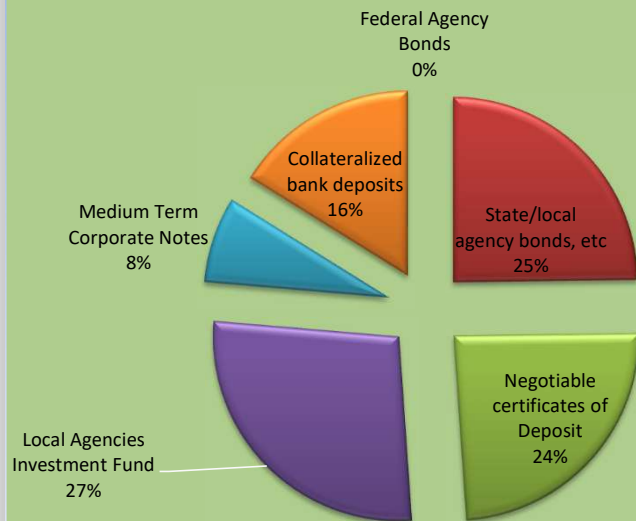
| Cusip | Rating | NAME | RATE | Trade Date | Settlement Date | Next Coupon | Maturity Date | Units | Discount or Premium | Book Value | Market Value | Unrealized Market Gain/Loss |
|---|--------|-------------------------------------|--------|------------|-----------------|-------------|---------------|--------------|---------------------|--------------|--------------|-----------------------------|
| Cash Accounts | | | | | | | | | | | | |
| N/R | | Wells Fargo Checking | 0.100% | | | | | 170,216.79 | | 170,216.79 | 170,216.79 | - |
| N/R | | Umpqua Bank Savings | 0.350% | | | | | 506,768.76 | | 506,768.76 | 506,768.76 | - |
| N/R | | Local Agency Investment Fund | 0.800% | | | | | 1,179,077.68 | | 1,179,077.68 | 1,179,077.68 | - |
| Agency/Treasury Bonds | | | | | | | | | | | | |
| Municipal Bonds | | | | | | | | | | | | |
| 032556GQ9 | AA- | Anaheim CA HSG | 1.791% | 4/27/2020 | 4/29/2020 | 10/1/2020 | 10/1/2024 | 30,000.00 | (807.00) | 29,193.00 | 30,860.70 | 1,667.70 |
| 13048VLJ5 | A1 | California Muni Finance Authority | 2.055% | 7/9/2020 | 7/13/2020 | 10/1/2020 | 10/1/2024 | 25,000.00 | 559.00 | 25,559.00 | 25,717.00 | 158.00 |
| 13048VQB7 | AA- | California Muni Finance Authority | 1.605% | 6/26/2020 | 6/30/2020 | 11/1/2020 | 11/1/2023 | 50,000.00 | | 50,000.00 | 50,745.00 | 745.00 |
| 13063BFS6 | AA3 | State of CA General Obligation Bond | 6.650% | 2/5/2019 | 2/8/2019 | 9/1/2020 | 3/1/2022 | 40,000.00 | 1,657.00 | 41,657.00 | 42,268.40 | 611.40 |
| 139702BJ2 | AA | Capistrano CA USD | 2.500% | 4/30/2020 | 5/4/2020 | 6/1/2020 | 12/1/2024 | 15,000.00 | - | 15,000.00 | 15,649.05 | 649.05 |
| 20775CDV3 | AAA | Connecticut Housing finance | 2.400% | 6/29/2020 | 7/1/2020 | 11/15/2020 | 5/15/2024 | 30,000.00 | 1,370.00 | 31,370.00 | 31,431.60 | 61.60 |
| 34439TBC9 | A+ | Folsom, CA Redevelopment | 2.250% | 2/27/2019 | 3/1/2019 | 8/1/2020 | 8/1/2022 | 70,000.00 | (735.00) | 69,265.00 | 71,085.70 | 1,820.70 |
| 34439TBD7 | A+ | Folsom, CA Redevelopment | 2.368% | 5/7/2019 | 5/7/2019 | 8/1/2020 | 8/1/2023 | 30,000.00 | (393.00) | 29,607.00 | 30,756.00 | 1,149.00 |
| 420507CM5 | AA | Hawthorne, CA | 3.250% | 9/16/2020 | 9/18/2020 | 2/1/2021 | 8/1/2025 | 15,000.00 | 314.00 | 15,314.00 | 16,114.50 | 800.50 |
| 451443F34 | AA | Idaho Bldg Auth | 2.365% | 8/31/2020 | 9/2/2020 | 3/1/2021 | 9/1/2025 | 50,000.00 | 3,506.00 | 53,506.00 | 53,338.50 | (167.50) |
| 45656RCN0 | AA | Industry, CA | 3.250% | 3/29/2018 | 4/3/2018 | 7/1/2020 | 1/1/2023 | 30,000.00 | | 30,000.00 | 31,629.60 | 1,629.60 |
| 56052FFF7 | AA+ | Maine State Housing Fin | 2.389% | 6/29/2020 | 7/1/2020 | 11/15/2020 | 11/15/2024 | 25,000.00 | 1,032.00 | 26,032.00 | 25,828.25 | (203.75) |
| 56453RAX2 | AA | Manteca, CA | 1.738% | 5/12/2020 | 5/14/2020 | 10/1/2020 | 10/1/2023 | 25,000.00 | - | 25,000.00 | 25,559.50 | 559.50 |
| 57604TAB2 | AA+ | Mass Trans Housing | 5.203% | 8/26/2020 | 8/28/2020 | 12/1/2020 | 6/1/2025 | 10,000.00 | 1,898.00 | 11,898.00 | 11,895.10 | (2.90) |
| 658207NX9 | AA2 | North Carolina Housing | 3.363% | 3/29/2018 | 4/3/2018 | 7/1/2020 | 1/1/2022 | 25,000.00 | | 25,000.00 | 25,552.75 | 552.75 |
| 695802MV7 | A+ | Pajaro Valley, CA | 3.185% | 2/26/2018 | 2/28/2018 | 8/1/2020 | 8/1/2022 | 45,000.00 | - | 45,000.00 | 47,081.70 | 2,081.70 |
| 74138FAW9 | AA | Perris, CA Redev | 2.340% | 11/18/2019 | 12/3/2019 | 10/1/2020 | 10/1/2024 | 140,000.00 | 764.00 | 140,764.00 | 144,566.80 | 3,802.80 |
| 77735AAE7 | AA | Rosedale Rio Bravo CA | 1.217% | 9/11/2020 | 10/6/2020 | 1/1/2021 | 1/1/2025 | 45,000.00 | - | 45,000.00 | 44,991.45 | (8.55) |
| 786091AF5 | AA | Sacramento Cnty, CA Pen Ob | 6.625% | 8/26/2020 | 8/28/2020 | 2/1/2021 | 8/1/2024 | 20,000.00 | 4,117.00 | 24,117.00 | 24,321.20 | 204.20 |
| 797299LV4 | AA- | San Diego CA Pub Fac Fing | 3.331% | 4/30/2020 | 5/4/2020 | 10/15/2020 | 10/15/2023 | 45,000.00 | 2,161.00 | 47,161.00 | 48,649.50 | 1,488.50 |
| 79770GGQ3 | AA- | San Francisco CA Red | 2.500% | 4/30/2020 | 5/4/2020 | 8/1/2020 | 8/1/2023 | 20,000.00 | | 20,000.00 | 21,000.00 | 1,000.00 |
| 79876CBA5 | AA- | San Marcos, CA | 3.000% | 3/29/2018 | 4/3/2018 | 10/1/2020 | 10/1/2021 | 25,000.00 | | 25,000.00 | 25,660.50 | 660.50 |
| 79876CBD9 | AA- | San Marcos, CA | 3.866% | 12/20/2019 | 12/24/2019 | 10/1/2020 | 10/1/2024 | 50,000.00 | 2,770.00 | 52,770.00 | 55,502.00 | 2,732.00 |
| 81888TAH6 | AA | Shafter, CA | 3.250% | 6/4/2020 | 6/8/2020 | 11/1/2020 | 11/1/2024 | 25,000.00 | 1,593.00 | 26,593.00 | 26,601.50 | 8.50 |
| 820169DS6 | AA3 | Shasta CA JPA | 3.000% | 4/30/2020 | 5/4/2020 | 10/1/2020 | 4/1/2024 | 45,000.00 | 1,950.00 | 46,950.00 | 47,967.75 | 1,017.75 |
| 835376AR5 | AA- | Somas, CA USD | 1.344% | 8/6/2020 | 8/10/2020 | 2/1/2021 | 8/1/2025 | 35,000.00 | 340.00 | 35,340.00 | 35,450.45 | 110.45 |
| 83756CLX1 | AAA | South Dakota Housing | 2.550% | 3/29/2018 | 4/3/2018 | 5/1/2020 | 11/1/2021 | 20,000.00 | | 20,000.00 | 20,296.60 | 296.60 |
| 91412GXQ3 | AA | University of CA | 3.359% | 9/16/2020 | 9/18/2020 | 11/15/2020 | 5/15/2025 | 15,000.00 | 1,677.00 | 16,677.00 | 16,696.05 | 19.05 |
| 91428LFM3 | AA2 | University HI Revenue | 4.361% | 3/29/2018 | 4/3/2018 | 10/1/2020 | 10/1/2021 | 20,000.00 | - | 20,000.00 | 20,062.20 | 62.20 |
| 95236PEU0 | A+ | West Covina CA | 3.918% | 9/16/2020 | 9/18/2020 | 11/1/2020 | 5/1/2023 | 20,000.00 | 1,254.00 | 21,254.00 | 21,009.20 | (244.80) |
| Negotiable Certificates of Deposit | | | | | | | | | | | | |
| 02007GHK2 | CD | Ally Bank, UT | 2.850% | 2/4/2019 | 2/7/2019 | 8/7/2020 | 2/7/2022 | 130,000.00 | | 130,000.00 | 134,847.70 | 4,847.70 |
| 02587CFU9 | CD | American Express Bank FSB | 2.400% | 8/25/2017 | 8/26/2017 | 9/1/2020 | 8/29/2022 | 100,000.00 | | 100,000.00 | 104,073.00 | 4,073.00 |
| 02587DN38 | CD | American Express Centurion Bank | 2.450% | 3/27/2017 | 4/5/2017 | 10/5/2020 | 4/5/2022 | 25,000.00 | | 25,000.00 | 25,852.50 | 852.50 |
| 02587DX29 | CD | American Express Centurion Bank | 2.350% | 7/17/2019 | 7/19/2019 | 8/22/2020 | 2/22/2022 | 125,000.00 | 275.00 | 125,275.00 | 129,923.75 | 4,648.75 |
| 140420D56 | CD | Capital One Bank | 1.600% | 8/23/2016 | 8/31/2016 | 8/28/2020 | 8/31/2021 | 55,000.00 | | 55,000.00 | 55,697.95 | 697.95 |
| 140420Z60 | CD | Capital One Bank | 2.400% | 3/21/2017 | 3/29/2017 | 9/29/2020 | 3/29/2022 | 50,000.00 | | 50,000.00 | 51,711.50 | 1,711.50 |
| 61747MS69 | CD | Morgan Stanley Bank | 2.800% | 4/2/2018 | 4/5/2018 | 10/5/2020 | 4/5/2021 | 50,000.00 | | 50,000.00 | 50,701.00 | 701.00 |
| 61768EAQ6 | CD | Morgan Stanley Pvt Bank | 1.800% | 2/13/2020 | 2/20/2020 | 8/20/2020 | 2/20/2025 | 50,000.00 | | 50,000.00 | 53,213.50 | 3,213.50 |
| 66476QCA4 | CD | Northern Bank and Trust | 3.000% | 5/17/2018 | 5/30/2018 | 5/30/2020 | 11/30/2021 | 100,000.00 | | 100,000.00 | 103,393.00 | 3,393.00 |
| 795450T47 | CD | Sallie Mae Bank | 3.300% | 6/29/2018 | 7/3/2018 | 7/3/2020 | 7/3/2023 | 50,000.00 | | 50,000.00 | 54,297.00 | 4,297.00 |
| 856285SK8 | CD | State Bank of India | 2.000% | 1/14/2020 | 1/22/2020 | 7/22/2020 | 1/22/2025 | 50,000.00 | | 50,000.00 | 53,591.00 | 3,591.00 |
| 87165HQS2 | CD | Synchrony Bank | 2.300% | 2/16/2017 | 2/24/2017 | 8/24/2020 | 2/24/2022 | 100,000.00 | | 100,000.00 | 103,081.00 | 3,081.00 |
| 949748W3 | CD | Wells Fargo Bank | 1.750% | 6/10/2016 | 6/17/2016 | 10/18/2020 | 6/17/2021 | 50,000.00 | | 50,000.00 | 50,542.50 | 542.50 |
| 99000PDB9 | CD | Comenity Bank | 1.800% | 3/29/2016 | 4/6/2016 | 10/6/2020 | 4/6/2021 | 100,000.00 | | 100,000.00 | 100,651.00 | 651.00 |
| Corporate Securities | | | | | | | | | | | | |
| 037833AY6 | AA1 | Apple Inc | 2.150% | 3/21/2017 | 3/24/2017 | 8/9/2020 | 2/9/2022 | 25,000.00 | | 25,000.00 | 25,629.75 | 629.75 |
| 06406HDF3 | A1 | Bank of NY Mellon | 2.450% | 3/1/2016 | 3/4/2016 | 5/27/2020 | 11/27/2020 | 25,000.00 | | 25,000.00 | 25,039.50 | 39.50 |
| 24422ETF6 | A2 | John Deere Cap Corp | 2.550% | 3/16/2016 | 3/21/2016 | 7/8/2020 | 1/8/2021 | 25,000.00 | | 25,000.00 | 25,152.00 | 152.00 |
| 68389XAP0 | AA- | Oracle | 2.500% | 6/29/2018 | 7/3/2018 | 10/15/2020 | 10/15/2022 | 50,000.00 | (594.00) | 49,406.00 | 52,121.50 | 2,715.50 |
| 842400GD9 | A- | So. Cal Edison | 2.400% | 6/29/2018 | 7/3/2018 | 8/1/2020 | 2/1/2022 | 50,000.00 | (462.00) | 49,538.00 | 50,974.00 | 1,436.00 |
| 89236TEC5 | AA- | Toyota Motor Credit | 2.150% | 6/29/2018 | 7/3/2018 | 9/8/2020 | 9/8/2022 | 50,000.00 | (939.00) | 49,061.00 | 51,713.50 | 2,652.50 |
| 91159HHP8 | A1 | US Bancorp | 2.625% | 3/21/2017 | 3/24/2017 | 7/24/2020 | 1/24/2022 | 25,000.00 | | 25,000.00 | 25,712.50 | 712.50 |
| 94974BGR5 | A2 | Wells Fargo & Co | 2.550% | 1/22/2016 | 1/27/2016 | 6/7/2020 | 12/7/2020 | 25,000.00 | | 25,000.00 | 25,101.00 | 101.00 |
| 95001D5X4 | A2 | Wells Fargo & Co | 2.050% | 2/26/2020 | 2/28/2020 | 8/28/2020 | 2/28/2025 | 50,000.00 | | 50,000.00 | 49,868.50 | (131.50) |
| N/R | | Wells Fargo Advisors Money Market | 0.100% | | | | | (55,475.55) | | (55,475.55) | (55,475.55) | - |
| Total Portfolio | | | | | | | | 2,344,524.45 | 23,307.00 | 2,367,831.45 | 2,435,701.65 | 67,870.20 |
| Accrued Portfolio Interest | | | | | | | | 15,761.62 | | 15,761.62 | 15,761.62 | |
| Portfolio and Cash Accounts | | | | | | | | | 23,307.00 | 4,239,656.30 | 4,307,526.50 | 67,870.20 |

Foresthill PUD
Activity Detail
9/01/2020 to 9/30/2020

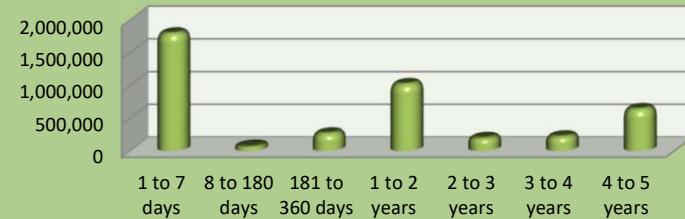
| <u>Transaction Date</u> | | | | <u>Amount</u> | <u>Premium/Gain</u> |
|--|-----------------|-----------------------|--------------|-----------------------|--------------------------|
| <u>Transaction Type</u> | <u>Quantity</u> | <u>Description</u> | <u>Price</u> | <u>Int Purch/Sold</u> | <u>(Discount)/(Loss)</u> |
| Investment Portfolio - Wells Fargo Advisors | | | | | |
| 9/1/2020 | 50,000.00 | Banning CA | 1.000 | 50,000.00 | 473.75 |
| Bond Matured | | 1.895% due 9/01/2020 | | 473.75 | |
| 9/1/2020 | 1,209.86 | American Express | 1.000 | 1,209.86 | |
| Interest Received | | 2.4 due 8/29/2022 | | | |
| 9/1/2020 | 1,330.00 | State of California | 1.000 | 1,330.00 | |
| Interest Received | | 6.65% due 3/01/2022 | | | |
| 9/8/2020 | 537.50 | Toyota Motor Credit | 1.000 | 537.50 | |
| Interest Received | | 2.15% due 9/08/2022 | | | |
| 9/8/2020 | 152.88 | Comenity Bank | 1.000 | 152.88 | |
| Interest Received | | 1.8% due 4/06/2021 | | | |
| 9/11/2020 | 45,000.00 | Rosedale Rio Bravo CA | 1.000 | 45,000.00 | |
| Bond Purchased | | 1.217% due 1/01/2025 | | - | |
| 9/16/2020 | 15,000.00 | Hawthorne CA | 1.088 | 16,320.00 | |
| Bond Purchased | | 3.25% due 8/01/2025 | | 63.65 | |
| 9/16/2020 | 20,000.00 | West Covina CA | 1.065 | 21,297.80 | |
| Bond Purchased | | 3.918% due 5/01/2023 | | 298.20 | |
| 9/16/2020 | 15,000.00 | University of CA | 1.114 | 16,707.60 | |
| Bond Purchased | | 3.359% due 5/15/2025 | | 172.15 | |
| 9/17/2020 | 74.32 | Wells Fargo | 1.000 | 74.32 | |

| | | | | | |
|-------------------------------------|-----------|----------------------|-------|-----------|--------|
| Interest Received | | 1.75% due 6/17/2021 | | | |
| 9/29/2020 | 25,000.00 | JP Morgan Chase | 1.000 | 25,000.00 | 265.63 |
| Bond Called | | 2.55% due 10/29/2020 | | 265.63 | |
| 9/29/2020 | 604.93 | Capital One Bank | 1.000 | 604.93 | |
| Interest Received | | 2.05% due 3/29/2022 | | | |
| 9/30/2020 | 20,000.00 | Sacramento County | 1.210 | 24,192.40 | |
| Bond Purchased | | 6.625% due 8/01/2024 | | 99.38 | |
| 9/30/2020 | 0.78 | Wells Fargo Bank | 1.000 | 0.78 | |
| Interest Received | | Monthly interest | | | |
| Local Agency Investment Fund | | | | | |
| | | No Activity | | | |

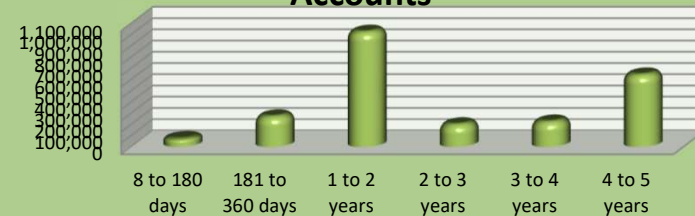
Portfolio by Investment Type



Portfolio by Maturity



Portfolio by Maturity - Excluding Cash Accounts



FORESTHILL PUBLIC UTILITY DISTRICT POLICY HANDBOOK

POLICY TITLE: Conflict of Interest
POLICY NUMBER: 1020

1020.10 The Political Reform Act, Government Code 81000, et seq., requires state and local government agencies to adopt and promulgate conflict of interest codes. The Fair Political Practices Commission has adopted a regulation, Title 2 Cal-California Code of Regs-Regulations, Section 18730, which contains the terms of a standard conflict of interest code. It can be incorporated by reference and may be amended by the Fair Political Practices Commission after public notice and hearings to conform to amendments in the Political Reform Act. Therefore, the terms of Title 2 Cal-California Code of Regs-Regulations, Section 18730 and any amendments to it duly adopted by the Fair Political Practices Commission are hereby incorporated by reference and, along with the attached Appendix A in which members of the Board of Directors and employees are designated, and in which disclosure categories are set forth, constitute the conflict of interest code of the Foresthill Public Utility District.

1020.20 Designated employees shall file statements of economic interests with the Clerk of the County of Placer Placer County Elections Office, which will make the statements available for public inspection and reproduction. (Gov. Code Section 81008.).

~~**1020.30** A copy of said statement of economic interest will be provided to the District to be included in the District files.~~

APPENDIX A

PART I - DESIGNATED EMPLOYEES

| <u>Position</u> | <u>Disclosure Category</u> | <u>Applicable FPPC Form</u> |
|--------------------------|----------------------------|-----------------------------|
| Board Members | <u>1, 2</u> | 700 |
| General Manager | <u>1, 2</u> | 700 |
| Treasurer | <u>1, 2</u> | 700 |
| Finance Manager | <u>1, 2</u> | 700 |
| Operations Supervisor | <u>1, 2</u> | 700 |
| Attorneys | <u>1, 2</u> | 700 |
| Consultants ¹ | <u>1, 22</u> | 700 |

PART II - DISCLOSURE CATEGORIES

Category 1

~~1. _____ Investments and business positions in any business entity and sources of income, including gifts, loans, and travel payments, listed in Appendix B are disclosable if:~~

- ~~a. The source is of the type which, within the last two years, has contracted with the District to furnish supplies or services; or,~~
~~b. The source is of the type which, within the last two years, has contracted to furnish supplies or services as a subcontractor in any contract with the District; or~~
~~— The source is of the type which provides private-sector services similar to those provided by the District.~~

~~— a. The business entity or business position in which the investment is held or which is the source of income is of the type which, within the last two years, has contracted with the District; or,~~

~~— b. The business entity or business position in which the investment is held or which is the source of income is of the type which, within the last two years, has contracted to furnish supplies or services as subcontractors in any contract with the District.~~

~~c. 2. With respect to designated positions, investments or business positions in any business entity or sources of income which are (1) private/service(s) similar to those provided by district/ companies or (2) entities or persons engaged in real estate development or owners of real estate, and interests in real property are disclosable if held, regardless of any contractual~~

1 Note: "Consultants" are included in the list of designated positions and must disclose interests and investments in accordance with the broadest disclosure category in the District's Conflict of Interest Code, subject to the following limitation: The General Manager of the District may determine in writing that a particular consultant, although a "designated position," is hired to perform a range of duties that is limited in scope and thus is not required to fully comply with the disclosure requirements described in this section. Such written determination shall include a description of the consultant's duties and, based upon that description, a statement of the extent of disclosure requirements. Such determination shall be a public record and shall be retained for public inspection in the same manner and locations as this conflict of interest code.

relationship with the District at any time ~~[subsentence (2) assumes the district provides an enterprise service that it essential to real estate development]~~.

Category 2

3. ~~—All interests in real property located within two miles of the District's service area; sources of income, including gifts, loans, and travel payments, derived from, or investments and business positions in business entities that engage in land development, construction, or the disposition of real property. Note: "Consultants" are included in the list of designated positions and must disclose interests and investments in accordance with the broadest disclosure category in the District's Conflict of Interest Code, subject to the following limitation: The General Manager of the District may determine in writing that a particular consultant, although a "designated position," is hired to perform a range of duties that is limited in scope and thus is not required to fully comply with the disclosure requirements described in this section. Such written determination shall include a description of the consultant's duties and, based upon that description, a statement of the extent of disclosure requirements. Such determination shall be a public record and shall be retained for public inspection in the same manner and locations as this conflict of interest code.~~

~~Consultants shall disclose all sources of income, interests in real property and investments and business positions in business entities. The General Manager of the District may determine in writing that a particular consultant, although a "designated position," is hired to perform a range of duties that is limited in scope and thus is not required to fully comply with the disclosure requirements described in this section. Such written determination shall include a description of the consultant's duties and, based upon that description, a statement of the extent of disclosure requirements. Such determination shall be a public record and shall be retained for public inspection in the same manner and locations as this conflict of interest code.~~

APPENDIX B

All interests in real property as well as investments and business positions in business entities and income from sources which provide facilities, services, supplies, or equipment of the type utilized by the District, including but not limited to:

- Pipe, valves, fittings, etc.
- Pumps, motors, etc.
- Meters and other water measurement equipment
- Construction and building materials
- Engineering services, including hydrology services
- Construction contractors
- Safety equipment and facilities
- Hardware tools and supplies
- Freight and hauling
- Motor vehicles, heavy equipment, special vehicles and parts and services thereto
- Petroleum products
- Photographic services, supplies and equipment
- Janitorial services
- Water quality testing
- Pesticides and herbicides
- Communications equipment and services
- Well drilling supplies and contractors
- Electrical equipment, including pumping equipment
- Computer hardware and software
- Architectural services
- Water treatment equipment, supplies and services
- Custom farming services such as weed abatement, etc.
- Telemetry equipment
- Appraisal services
- Printing, reproduction, record keeping, etc.
- Office equipment
- Accounting services
- Real estate agents/brokers and investment firms
- Title companies
- Public utilities
- Canal and pipeline maintenance services
- Insurance companies

FORESTHILL PUBLIC UTILITY DISTRICT POLICY HANDBOOK

POLICY TITLE: Conflict of Interest
POLICY NUMBER: 1020

1020.10 The Political Reform Act, Government Code 81000, et seq., requires state and local government agencies to adopt and promulgate conflict of interest codes. The Fair Political Practices Commission has adopted a regulation, Title 2 California Code of Regulations, Section 18730, which contains the terms of a standard conflict of interest code. It can be incorporated by reference and may be amended by the Fair Political Practices Commission after public notice and hearings to conform to amendments in the Political Reform Act. Therefore, the terms of Title 2 California Code of Regulations, Section 18730 and any amendments to it duly adopted by the Fair Political Practices Commission are hereby incorporated by reference and, along with the attached Appendix A in which members of the Board of Directors and employees are designated, and in which disclosure categories are set forth, constitute the conflict of interest code of the Foresthill Public Utility District.

1020.20 Designated employees shall file statements of economic interests with the Placer County Elections Office, which will make the statements available for public inspection and reproduction. (Gov. Code Section 81008.).

APPENDIX A

PART I - DESIGNATED EMPLOYEES

| <u>Position</u> | <u>Disclosure Category</u> | <u>Applicable FPPC Form</u> |
|--------------------------|-----------------------------------|------------------------------------|
| Board Members | 1, 2 | 700 |
| General Manager | 1, 2 | 700 |
| Treasurer | 1, 2 | 700 |
| Finance Manager | 1, 2 | 700 |
| Operations Supervisor | 1, 2 | 700 |
| Attorney | 1, 2 | 700 |
| Consultants ¹ | 1, 2 | 700 |

PART II - DISCLOSURE CATEGORIES

Category 1

Investments and business positions in any business entity and sources of income, including gifts, loans, and travel payments, if:

- a. The source is of the type which, within the last two years, has contracted with the District to furnish supplies or services; or,
- b. The source is of the type which, within the last two years, has contracted to furnish supplies or services as a subcontractor in any contract with the District; or
- c. The source is of the type which provides private-sector services similar to those provided by the District.

Category 2

All interests in real property located within two miles of the District's service area; sources of income, including gifts, loans, and travel payments, derived from, or investments and business positions in business entities that engage in land development, construction, or the disposition of real property.

¹ Note: "Consultants" are included in the list of designated positions and must disclose interests and investments in accordance with the broadest disclosure category in the District's Conflict of Interest Code, subject to the following limitation: The General Manager of the District may determine in writing that a particular consultant, although a "designated position," is hired to perform a range of duties that is limited in scope and thus is not required to fully comply with the disclosure requirements described in this section. Such written determination shall include a description of the consultant's duties and, based upon that description, a statement of the extent of disclosure requirements. Such determination shall be a public record and shall be retained for public inspection in the same manner and locations as this conflict of interest code.